### UCSB Photonic-Integrated-Circuits for Coherent Communication and Sensing

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## Size, Weight, Power, Cost, Performance, Reliability

Where?

- Communication
  - Long haul
  - Metro, campus
  - <u>Data centers</u>, Supercomputers
- <u>Sensing</u>/instrumentation
- Computing



- > 1970's OEICs on GaAs for high-speed computing
- ➤ 1980's InP photonics/fiber; integration & tunables for coherent → Reach
- > 1990's Widely-tunables, laser-mods, EDFAs; int. for WDM and cost
- > 1990's VCSELs for datacom and optical interconnection
- ➤ 2000 Bubble: Explosion of strange ideas, bandwidth-demand satisfied by DWDM → crash; but bandwidth needed by 2010.
- 2000's InP PICs & PLCs expanded and matured; increasing use of VCSELs in high-speed datacom and computing interconnects
- 2006+ Emergence of Si-PICs with several different goals: low-cost OEICs; high-performance PICs; or stop Moore's-Law saturation
- 2008+ Use of advanced modulation formats/coherent receivers for improved Spectral Efficiency — need for integration at both ends of links
- 2010's Increased InP-PIC use; maturity of Si-photonics solutions; heterogeneous integration approaches; improved VCSEL link efficiency
- > 2018 Data-center focus; coherent LIDAR/imaging; InP & Si-PICs in 'volume'

# INTREPID

#### Intelligent Reduction of Energy through Photonic Integration for Datacenters







# **Datacenter Network Architecture**

#### Aggressive Integration

- Analog coherent WDM interfaces, with *k* = 4 to16 wavelengths
- Integrated <u>directly</u> into high-radix electronic switch ports
- Greatly reduced cost, latency, power

#### Phase 1 Enhancements

- Add a layer of kxk AWGRs<sup>[1]</sup>
- Network scales-out by a factor of k
- x2-4 increase in energy efficiency, compared to conventional designs
- Same latency and switch sizes

#### Phase 2 Enhancements

- Replace AWGRs by WDM switches<sup>[2]</sup>
- Enabling network configurability, including direct optical ToR-to-ToR connections, to match workload
- Leads to further improvements in energy efficiency and latency







[1] Saleh, "Scaling-out Data Centers Using Photonics Technologies," PS Conference, 2014.
[2] Saleh, et al, "Elastic WDM Switching for Scalable Data Center Networks," OECC/PS Conference, 2016.

# **Analog Coherent: Maximizing Energy Efficiency**

Direct-Modulation/Direct Detection

Detected power  $\propto$  (P<sub>laser</sub> • A<sub>total</sub>)

 $P_{laser} = laser power, A_{total} = total link attenuation$ 

- RX sensitivity sets link budget, energy efficiency
  - Poor sensitivity = higher transmitter power
- Sensitivity directly degrades with datarate
  - Problem only getting worse

**Field Modulation/Coherent Detection** 

Detected power  $\propto \sqrt{(P_{lasel}, A_{total}) \cdot P_{LO}}$ 

 $P_{LO}$  = Local Oscillator (LO) power

- ~20dB improvement in RX sensitivity possible
- Much greater toleranance to attenuation
  - Looser component specs for yield and cost
  - Ability to compensate for insertion loss of optical routing/switching devices

#### Optical Phase Locked Loop (OPLL) → Eliminating Power-Hungry DSP







## UCSB Prior Work: Phase Locked Coherent BPSK Receiver "Analog Coherent" MOC 2018

OPLL + Costas Loop  $\rightarrow$  1 cm<sup>2</sup> footprint



Photonic IC: SGDBR laser, optical hybrid, and un-balanced PDs

Electronic IC: limiting amplifiers and phase & frequency detector (PFD)

Hybrid loop filter: Feed-forward technique, op-amplifier and 0603 SMDs

Mingzhi Lu, et. al., Optics Express, Vol. 20, Issue 9, pp. 9736-9741 (2012)



#### InP Widely-tunable Coherent Receiver PIC-2 (Heterodyne or Intradyne—also for Optical Synthesis)

MOC 2018



- I and Q outputs normally connected to ADC and DSP for Receiver
- Much lower SWaP-C Optical Phase Locked Loop (OPLL) used

Mingzhi Lu, et. al., Optics Express, Vol. 20, Issue 9, pp. 9736-9741 (2012)

# Feasibility Established: Analog Coherent OPLLs





Operation at 1550nm

High-Speed Operation:



Error-free up to 35Gb/s:



- 1.1GHz closed loop bandwidth
- <u>120ps</u> loop propagation delay
- <u>100kHz</u> SGDBR-linewidth (as ref. laser)
- -100dBc/Hz@above 50kHz phase noise
- <u>600ns</u> frequency pull-in time
- <10ns phase lock time</li>



# CHANGING WHAT'S POSSIBLE



- S. Ristic et. al., JLT (2010)
- M. Lu et. al., Optics Express, (2012)
- P. R. A. Binetti et. al., JQE (2012)
- M. Lu et. al., JLT (2013)

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## INTREPID Focus

- O-band operation at maximum energy efficiency
- Co-optimization and tighter integration of photonics and electronics
- Flip-chip packaging supporting multi-channel WDM scaling







#### From Chris Doerr--Acacia

MOC 2018

#### For coherent use Vector modulation:



## Waveguides: InP vs SiP (Lumentum Slides—M. Larson)



## **Passive PIC Elements**

Passive Element	InP	SiP
Power Splitter/Combiner	Multimode Interference Couplers (MMIs)	MMIs Directional Couplers Adiabatic Couplers Y Junctions
90 degree hybrid co-mixer	2x4 MMI cascaded 2x2 couplers	2x4 MMI cascaded 2x2 couplers
Off-chip coupling	Cleaved Facet Spot size converter (vertical/lateral taper)	Spot size converter to SiNx Grating coupler
Polarization diversity	hybrid	Polarization Beam Splitter/Rotator (PBSR)
Isolator	hybrid	hybrid



## **Laser Building Blocks**

- Laser source required for transmitter and local oscillator
- Narrow linewidth, high power, full C-band tunable
- Vernier tuning architecture with 2 or more filters to overcome refractive index tuning limitations
- Laser must be temperature stabilized or suffer environmentally-induced frequency drift



	InP	SiP
Optical Gain Medium	InGaAsP or InGaAlAs quantum wells	hybrid or heterogeneous
Filters / Mirrors	Vertically-etched gratings in InGaAsP waveguide Microring resonators	Micro-ring resonators; Laterally-patterned gratings
Tuning mechanism	Carrier injection or thermal (microheater)	Thermal (microheater)



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## Single or Multiple PICs? InP <u>Practical View (Lumentum)</u>



2-90° hybrids plus PDs

- Separate Tx and Rx PIC for thermal considerations
  - Laser + Modulator must be temperature controlled; Receiver is uncooled

# Narrow linewidth thermally tuned Sampled Grating DBR laser in InP (Lumentum)

- Vernier-tuned SGDBR Laser, comb spacing ~700GHz
- +16dBm output power, 100kHz linewidth
- <1.4W Pdiss at 75C (laser TEC at 52C)</li>





## **InP Coherent Tx PIC**





1528 nm

1550 nm

10 15 20

8 9 10

![](_page_16_Figure_3.jpeg)

![](_page_16_Picture_4.jpeg)

## Single or Multiple PICs? SiP <u>Preferred View</u> (Acacia, NTT)

Separate Tunable Laser PIC from Modulator+Receiver PIC for thermal considerations

![](_page_17_Figure_2.jpeg)

C. Doerr et al., OFC 2016

K. Kikuchi et al., Compound Semiconductor Integrated Circuit Symposium (CSICS), 2017

![](_page_17_Picture_5.jpeg)

### Early SiPh coherent receivers

![](_page_18_Figure_1.jpeg)

![](_page_18_Picture_2.jpeg)

![](_page_18_Picture_3.jpeg)

![](_page_18_Picture_4.jpeg)

Y. Painchaud, et al., 2013.

![](_page_18_Picture_6.jpeg)

## Single-chip coherent transceiver

R L T ↓↓↑ PBSF PBSR THE REAL PROPERTY OF THE REAL :: Driver TIAs Power consumption = 4.3 W C. Doerr, et al., OFC, Th5C.4, 2016 ACALI

Tu2E.5.pdf

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# ASIC-PIC co-packaging

Improved heat dissipation

OFC 2018 © OSA 2018

Heat flows directly from die backside to lid

Very high bandwidth connections

![](_page_20_Figure_5.jpeg)

![](_page_20_Picture_6.jpeg)

![](_page_20_Picture_7.jpeg)

### **Modulator Material Physics**

- InP: Quantum Confined Stark Effect
  - Applied reverse bias causes a redshift of the multiple quantum well excitonic absorption edge

 $-\Delta n \propto V, V^2$ 

![](_page_21_Figure_4.jpeg)

D.A.B Miller, et al., Phys. Rev. Lett., 53 (1984) p. 2174

- SiP: plasma dispersion effect
  - $\Delta n \propto \text{carrier concentration } \Delta N, \Delta P$ .
  - Carrier concentration is a non-linear function of applied V

![](_page_21_Figure_9.jpeg)

$$\Delta n(\lambda) = -3.64 \times 10^{-10} \lambda^2 \Delta N - 3.51 \times 10^{-6} \lambda^2 \Delta P^{0.8}$$

#### 'Soref' equation. Fitting parameters are empirical

Soref and Bennett. IEEE JQE 23.1 (1987): 123-129.

![](_page_21_Picture_13.jpeg)

## **Phase Shifter Transfer Functions**

- Phase & attenuation vs applied voltage for 3 modulator materials
  - InP: nonlinear phase and attenuation (electro-absorption) with increasing reverse bias
  - SiP: complicated phase and attenuation; notice: vertical scale range is ½, horizontal scale (V) is 2.5X

![](_page_22_Figure_4.jpeg)

![](_page_22_Picture_5.jpeg)

## Power Dissipation Budget Comparison: 64 Gbaud DP-16QAM IC-TROSA component level estimate

- Conservative estimates for budgetary purpose
- InP PIC + TEC is 3.3W vs. 2.3W for SiP + External Laser: 1W SiP advantage
- SiP solution is disadvantaged by high Vpp => high driver power dissipation

Power dissipation Item (max)	InP IC-TROSA Tx PIC + Rx PIC	SiP IC-TROSA TxRx PIC + Laser PIC	Notes
Tx PIC active load (W)	0.9	0.1	InP case includes laser
Tx TEC (W)	2.4	0	
External Laser + TEC (W)	0	2.2	SiP case only
Driver (W)	1.9	3.5	
TIA (W)	1.1	1.1	
Total (W)	6.3	6.9	maximum

![](_page_23_Picture_5.jpeg)

## Summary

- As long as laser is temperature sensitive and requires TEC, single PIC solution is unlikely the lowest power dissipation

   At 1310 may be able to use uncooled laser
- High Vpi of SiP modulator is a challenge for driver power dissipation and scaling to higher baud rates
   –SiP modulators may not be good choices within data centers

![](_page_24_Picture_3.jpeg)

![](_page_25_Figure_0.jpeg)

![](_page_26_Figure_0.jpeg)

![](_page_26_Picture_1.jpeg)

OCLARO

### 64 Gbaud Tx & Rx dual channel 400G today

![](_page_27_Picture_1.jpeg)

![](_page_27_Picture_2.jpeg)

Integrated Compact Rx/VOA

![](_page_27_Picture_4.jpeg)

![](_page_27_Picture_5.jpeg)

# Data Capacity Scaling in The Network

![](_page_28_Figure_1.jpeg)

Year

#### rinfinera

# **Photonic Module Evolution**

![](_page_29_Figure_1.jpeg)

Fig. 21. Scaling of four generations of multi-channel DWDM SOC photonic IC modules. From their first commercial deployment in 2004 to 2016 (12 years), the data capacity of these modules has scaled 24x in roughly the same footprint (images shown to scale).

#### vinfinera

<sup>30</sup> F. Kish, et al, *JSTQE*, **24** (1) 2018

# 2011: 500 Gb/s PM-QPSK Coherent PICs

#### Tx PIC Architecture (5 x 114 Gb/s)

![](_page_30_Figure_2.jpeg)

> 450 Integrated Functions

8 Different Integrated Functions

![](_page_30_Picture_5.jpeg)

**Rx PIC Architecture (5x 114Gb/s)** 

![](_page_30_Figure_7.jpeg)

- > 150 Integrated Functions
- 7 Different Integrated Functions

#### **Vinfinera**

# 2016: 1.2Tbps Extended C-Band tunable coherent 32GBaud/16-QAM coherent Transceiver

![](_page_31_Figure_1.jpeg)

- 1.2 Tbps, 6-Channel transmitter and receiver PICs in single Module
- Independent extended C-Band tunable channels
- 200Gbps per channel (33GBaud/16QAM) capable to 1500km Reach.
- 44GBaud data rate demonstrated

![](_page_31_Figure_6.jpeg)

#### 44GBaud, 16-QAM

![](_page_31_Picture_8.jpeg)

**Vinfinera** 

#### **B2B Constellations**

# Back-to-back transmitter constellations on PIC with potential capacity of 4.9 Tb/s

14-Channel Coherent Transmitter PIC (44Gbaud, PM 16-QAM)

	CH 1	2	3	4	5	6	7
TE							
тм							
	CH 8	9	10	11	12	13	14
TE							
тм							

Fig. 19. Back-to-back 44 Gbaud constellations for PM 16-QAM modulation for all 14 channels on a SOC DWDM coherent transmitter PIC (measured using a companion widely tunable multi-channel receiver PIC). The figure shows only the outermost sub-carrier on each polarization for a dual-pol 16-QAM signal. The total capacity of this photonic IC is >4.9 Tb/s.

#### **Vinfinera**

<sup>33</sup> F. Kish, et al, *JSTQE*, **24** (1) 2018

# DARPA-SWEEPER **2D-Beam Sweeping**

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N (number of waveguides)

- Our approach: 1D array + grating
- Scaling as N + 1, not N<sup>2</sup>
- Widely-tunable laser (longitudinal-steering) 1 × N lateral Longitudinal
- Lateral beam-steering via phase-shifter array,  $\psi$
- Longitudinal beam-steering via wavelength-tuned grating diffraction,  $\theta$

![](_page_33_Picture_8.jpeg)

![](_page_34_Picture_0.jpeg)

#### 32 x N: Surface-emitting grating phased-array Optical Beam SWEEPER—InP-PIC

MOC 2018

![](_page_34_Figure_3.jpeg)

# 2D Beam Sweeping results (32 x N)

**UCSB** 

MOC 2018

![](_page_35_Figure_2.jpeg)

W. Guo, et al, OFC '13, Mar., 2013

![](_page_36_Picture_0.jpeg)

#### Similar sweeping concept, but wider angles & larger arrays + LIDAR

![](_page_36_Figure_3.jpeg)

![](_page_37_Picture_0.jpeg)

DARPA

![](_page_37_Figure_1.jpeg)

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![](_page_38_Picture_0.jpeg)

![](_page_38_Figure_2.jpeg)

#### **FMCW LIDAR Transceiver**

![](_page_38_Figure_4.jpeg)

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![](_page_39_Picture_0.jpeg)

# Prior work showing linewidth reduction with optical frequency locked loop

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![](_page_39_Figure_3.jpeg)

# **DARPA** InP Transceiver Mask Layout

![](_page_40_Picture_1.jpeg)

#### **Design features**

#### Fully integrated frontend transceiver

Maximizes coupling between sections, avoids optical isolators

#### Sampled Grating DBR laser (SGDBR)

Proven widely tunable laser technology

#### Dual use laser for transmitter and LO

Balanced detection for common mode rejection

#### Asymmetric Mach-Zehnder interferometer (AMZI) locker

Stabilizes wavelength and provides stable chirp for laser

#### Integrated semiconductor optical amplifiers (SOAs )

In-situ monitoring, power amplifier, blanking during wavelength tuning, diode-based temperature sensor

#### Sampled Grating DBR laser

![](_page_40_Figure_14.jpeg)

#### **Critical Fabrication steps**

![](_page_40_Picture_16.jpeg)

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![](_page_41_Picture_0.jpeg)

![](_page_41_Picture_1.jpeg)

LOCKHEED MARTI

## **OARPA** Transceiver with Locker and Receiver Circuits

Layout

#### **Fabricated Module**

CKHEED MARTIN

![](_page_42_Picture_3.jpeg)

![](_page_42_Figure_4.jpeg)

#### InP transceiver PIC mounted on AIN PIC subcarrier, then mounted on supercarrier with locker and receiver circuits/boards

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![](_page_43_Picture_0.jpeg)

## **Locker and Receiver PD outputs**

- Test 1: Laser and PIC DC characteristics
- SOA-1 used to measure laser LI characteristics
- PD-1 and PD-2 used to measure AMZI response
- Test 2: Locking functionality (Tune laser)
- DC bias the laser

DARPA

- Sweep phase section and measure AMZI response <u>Test 3</u>: Chirp control (Tune filter)
- Sweep chirp control current
- Measure chirp response with PD-1 and PD-2
- Test 4: Receiver functionality
- DC bias the laser and sweep phase
- Measure receiver response with PD-3, PD-4

![](_page_43_Figure_13.jpeg)

![](_page_43_Figure_14.jpeg)

![](_page_43_Figure_15.jpeg)

![](_page_44_Picture_0.jpeg)

## **Output coupling to SiP emitter PIC**

![](_page_44_Picture_2.jpeg)

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LOCKHEED MARTIN

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![](_page_45_Picture_0.jpeg)

![](_page_45_Figure_1.jpeg)

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![](_page_46_Picture_0.jpeg)

# **SiP-OPA full-run PIC**

![](_page_46_Figure_2.jpeg)

- Deep etch: Directional couplers, ring resonators and loop mirrors test structures
- 2. Modulator test structures (MZI, loss spiral)
- 3. 32-channel devices (can be probed)
- 4. Reduced pitch 240-channel full device (to be bonded to interposer)
- 5. Standard pitch 240-channel full device (to be bonded to interposer)
- Shallow etch: Directional couplers, ring resonators and loop mirrors test structures + loss spirals (both etch depths)

![](_page_46_Figure_9.jpeg)

#### 6 dies per 4 inch (100 mm) wafer

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![](_page_47_Picture_0.jpeg)

# **Take-Aways**

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• PICs are desirable for modest to high volume communication and sensing applications, where size, weight, power and cost (SWAP-C) reductions are desired.

• PICs are important because of the inherently stable phase relationships and possibly seamless interfaces between elements.

• PICs generally bring better reliability once properly designed; yield and some aspects of performance may be compromised, although other aspects can be improved.

• Although InP-PICs are currently being produced in higher volume, the use of SiP-PICs is growing more rapidly.